

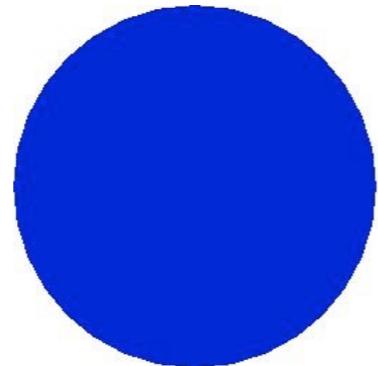
散射实验 (量子世界的显微镜)

能量和空间尺度

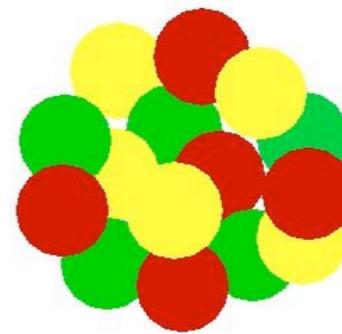
加速器：强力的“显微镜”

高能加速的粒子束，帮助我们看清细微的结构

$$E \sim \frac{1}{x}$$



低能量粒子束



高能量粒子束

投石问路：高能散射实验

固定靶实验

$$E_{\text{cm}} \propto \sqrt{E_{\text{in}}}$$



对撞机实验

$$E_{\text{cm}} \propto E_{\text{in}}$$

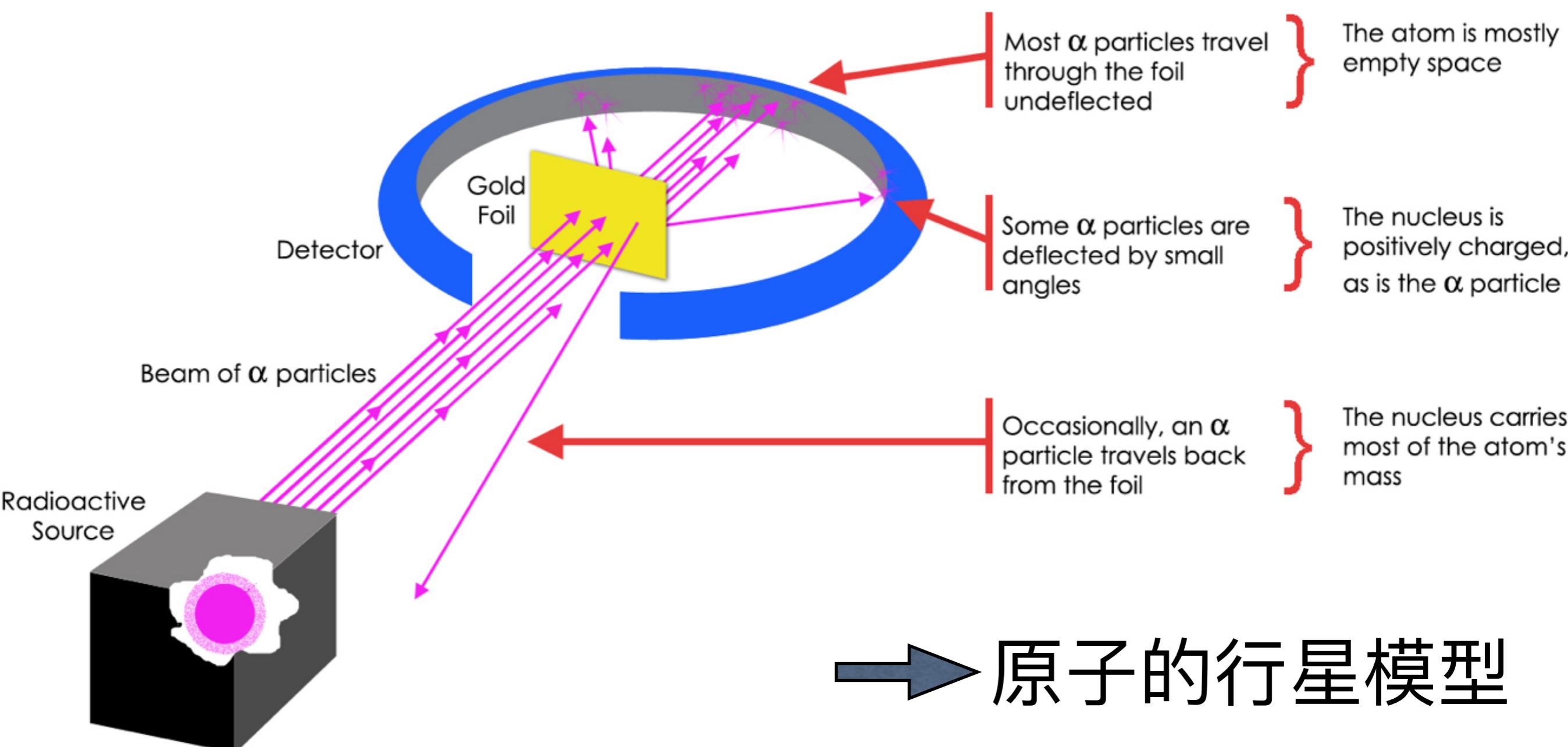


卢瑟福散射实验

对撞实验鼻祖



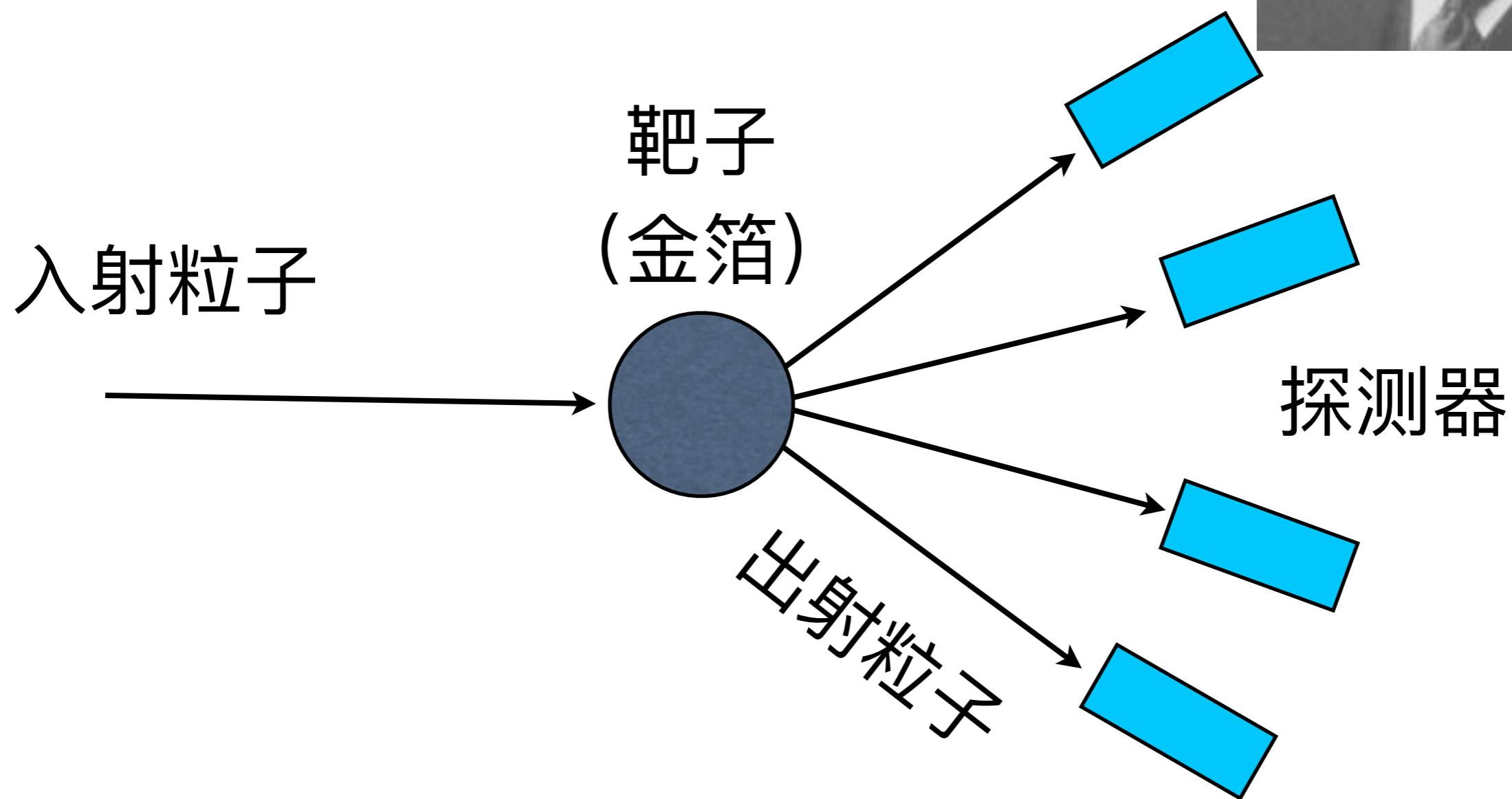
Rutherford's Gold Foil Experiment



→ 原子的行星模型

卢瑟福散射实验

对撞实验鼻祖



散射相关的物理量

入射粒子的亮度：单位时间内通过单位面积的粒子数

$$j_{\text{inc}} = \frac{dN_{\text{inc}}}{dA \ dt}$$

散射粒子：单位时间内在 (θ, ϕ) 方向的小立体角内探测到的粒子数

$$\frac{dN_{\text{sc}}}{d\Omega \ dt}$$

微分散射截面

$$\frac{d\sigma}{d\Omega}(\theta, \phi) = \frac{\frac{dN_{\text{sc}}}{d\Omega dt}}{\frac{dN_{\text{inc}}}{dA dt}}$$

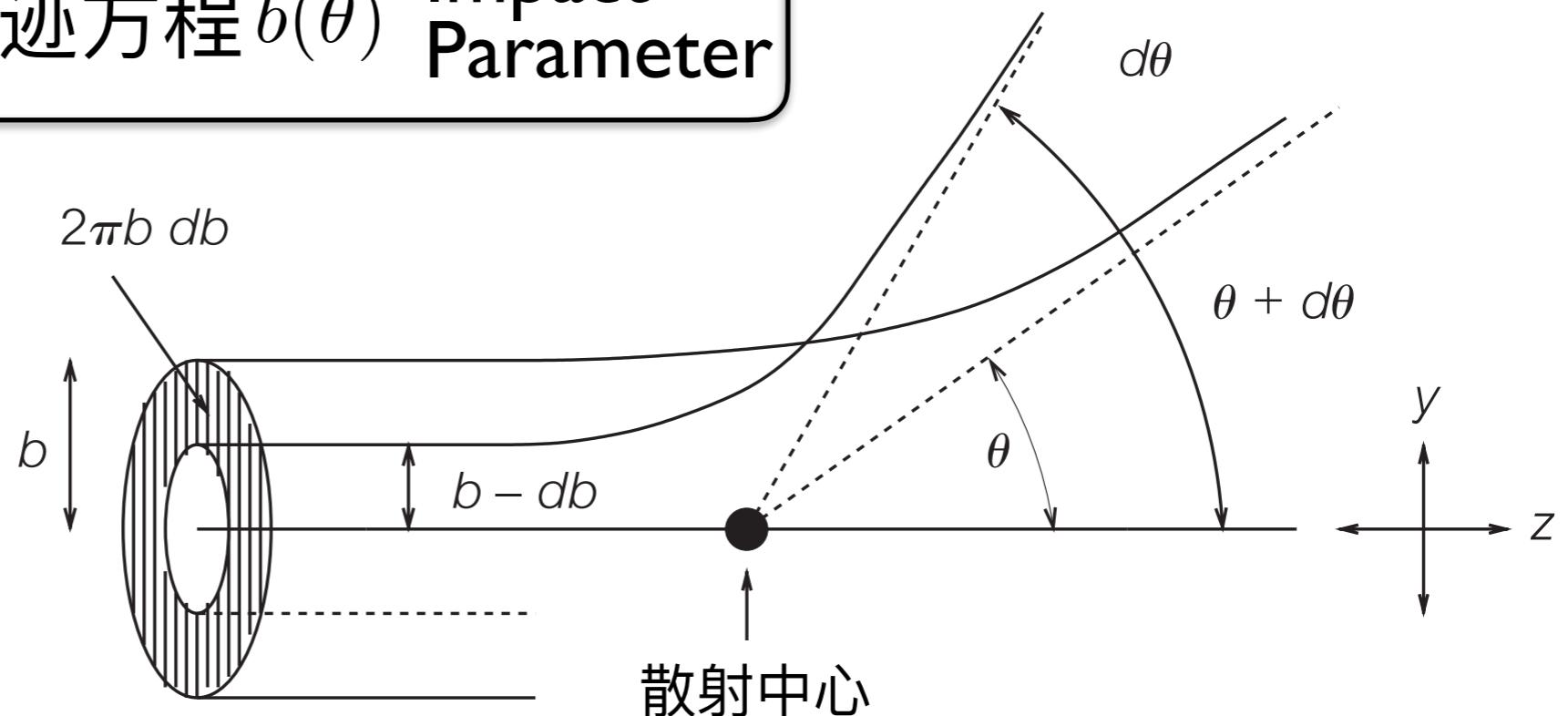
$$\left[\frac{d\sigma}{d\Omega} \right] = [\sigma] = [L]^2$$

总散射截面 $\sigma = \int d\Omega \frac{d\sigma}{d\Omega} = \int_0^{2\pi} d\phi \int_0^{\pi} \sin \theta d\theta \frac{d\sigma}{d\Omega}(\theta, \phi)$

$$m\ddot{\vec{r}} = \vec{F} \rightarrow \text{轨迹方程 } b(\theta) \quad \text{Impact Parameter}$$

初始条件(渐进态)

$$\begin{aligned}y(t = -\infty) &= b \\ \dot{y}(t = -\infty) &= 0 \\ z(t = -\infty) &= -\infty \\ \dot{z}(t = -\infty) &= \sqrt{2mE}\end{aligned}$$



阴影区域($d\sigma$)的入射粒子被散射到 $(\theta, \theta + d\theta)$ 之间

$$\frac{dN_{\text{sc}}}{dt} = d\sigma \frac{dN_{\text{inc}}}{dA \ dt}$$

$$d\sigma = 2\pi b db$$

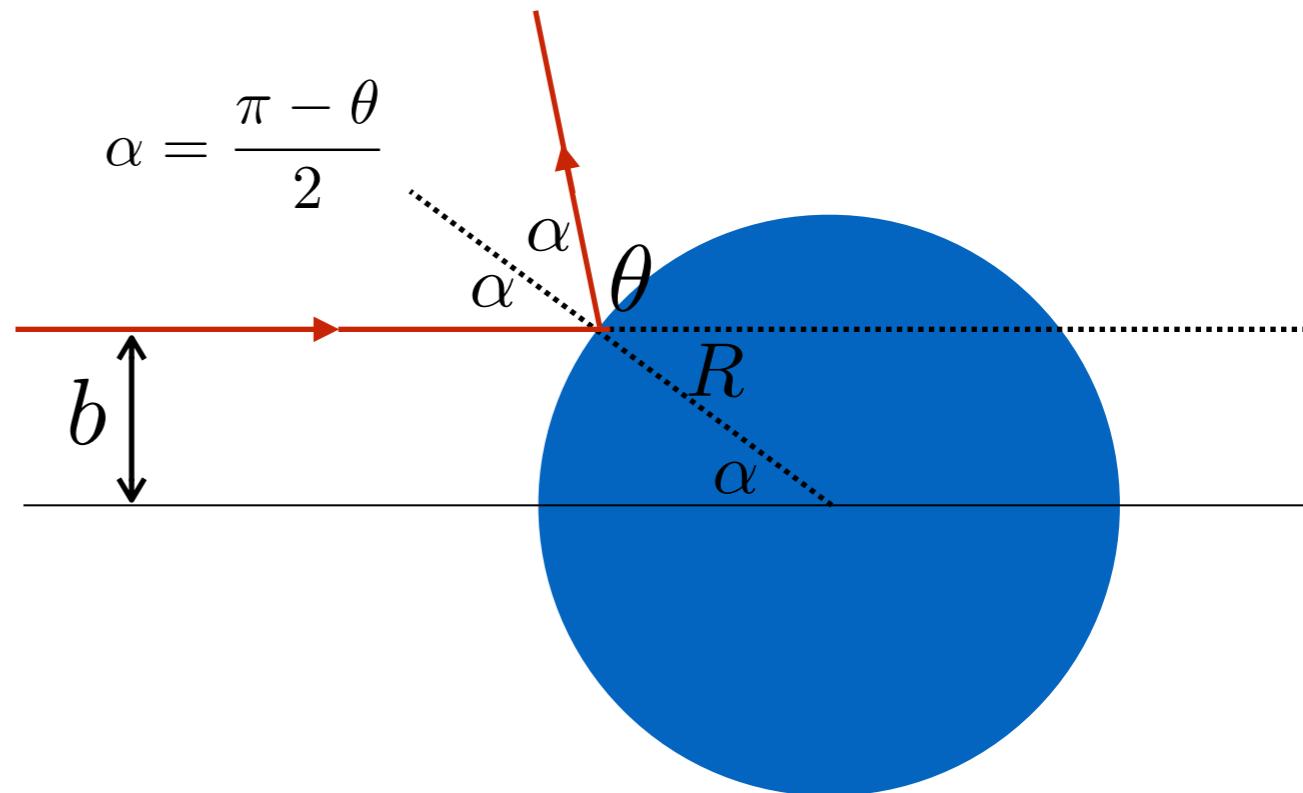
$$\frac{d\sigma}{d\theta} = 2\pi b(\theta) \left| \frac{db(\theta)}{d\theta} \right|$$

→ $\frac{d\sigma}{d\Omega} = \left[\frac{1}{2\pi \sin \theta} \right] 2\pi b(\theta) \left| \frac{db(\theta)}{d\theta} \right| = \frac{b(\theta)}{\sin \theta} \left| \frac{db(\theta)}{d\theta} \right|$

经典刚球散射

$$b = R \sin \alpha = R \cos \frac{\theta}{2}$$

$$\rightarrow \left| \frac{db}{d\theta} \right| = \frac{R}{2} \sin \frac{\theta}{2}$$



$$\frac{d\sigma}{d\theta} = 2\pi b(\theta) \left| \frac{db}{d\theta} \right| = \pi R^2 \sin \frac{\theta}{2} \cos \frac{\theta}{2} = \frac{\pi R^2}{2} \sin \theta$$

$$\rightarrow \frac{d\sigma}{d\Omega} = \frac{d\sigma}{\sin \theta d\theta d\phi} = \frac{d\sigma}{d\theta} \frac{1}{2\pi \sin \theta} = \frac{R^2}{4} \quad \text{微分散射截面}$$

$$\rightarrow \sigma = \int d\Omega \frac{d\sigma}{d\Omega} = \frac{R^2}{4} (4\pi) = \pi R^2 \quad \text{总散射截面}$$

库仑势散射

$$V(r) = \frac{A}{r}$$

$$b(\theta) = \frac{A}{2E} \cot \frac{\theta}{2}$$

$$\rightarrow \frac{db}{d\theta} = -\frac{A/2E}{2 \sin^2 \frac{\theta}{2}}$$

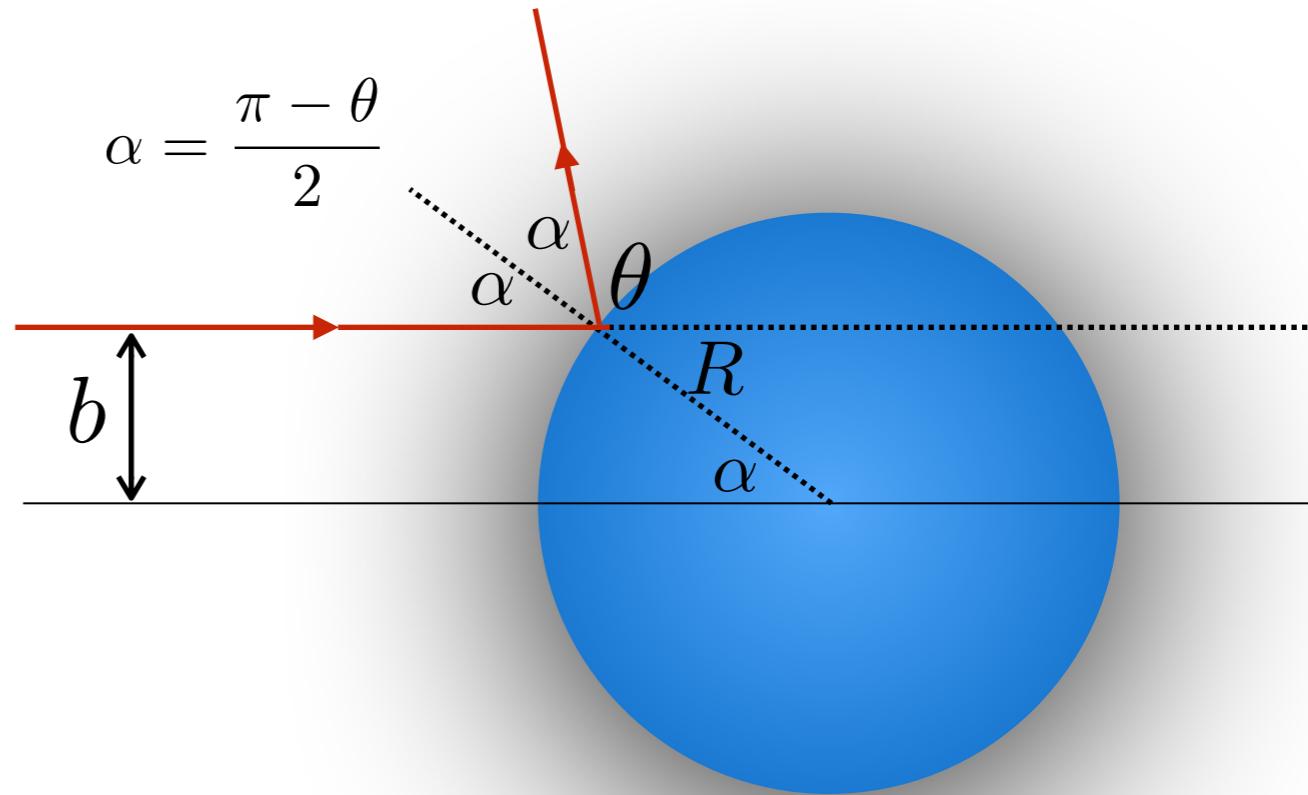
$$\frac{d\sigma}{d\theta} = 2\pi b(\theta) \left| \frac{db}{d\theta} \right| = \pi \left(\frac{A}{2E} \right)^2 \frac{\cos(\theta/2)}{\sin^3(\theta/2)}$$

$$\rightarrow \frac{d\sigma}{d\Omega} = \frac{d\sigma}{\sin \theta d\theta d\phi} = \frac{A^2}{16E^2} \frac{1}{\sin^4(\theta/2)}$$

微分散射截面

$$\rightarrow \sigma = \int d\Omega \frac{d\sigma}{d\Omega} \rightarrow \infty \quad (\text{源于里程无穷大})$$

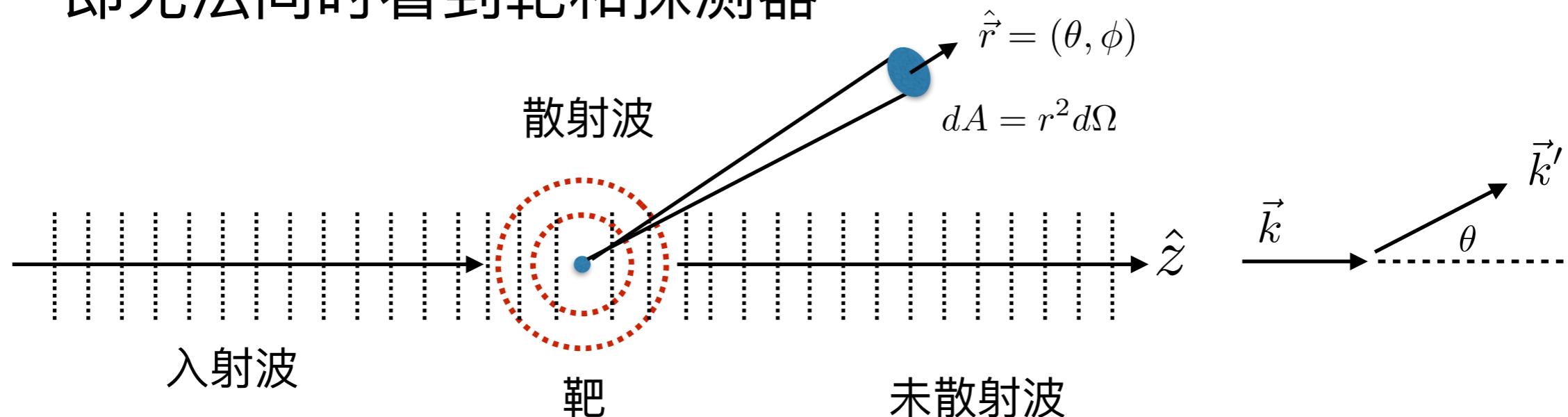
总散射截面



量子散射实验

要求：

- 1) 波包具有较大的空间尺寸，散射过程中扩散效应不显著；
- 2) 波包大于靶的特征尺度，但小于实验装置的尺度，即无法同时看到靶和探测器



入射粒子可以用平面波近似

我们仅考虑弹性散射

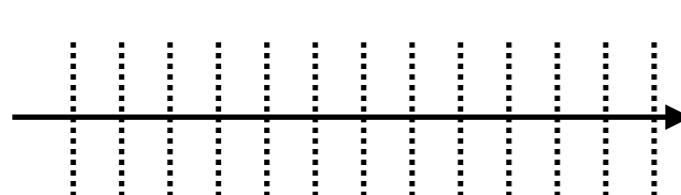
宏观和微观上的差别：

只要入射波的波包大小比靶尺度大几倍或十几倍，
我们就可以将入射粒子视作为平面波

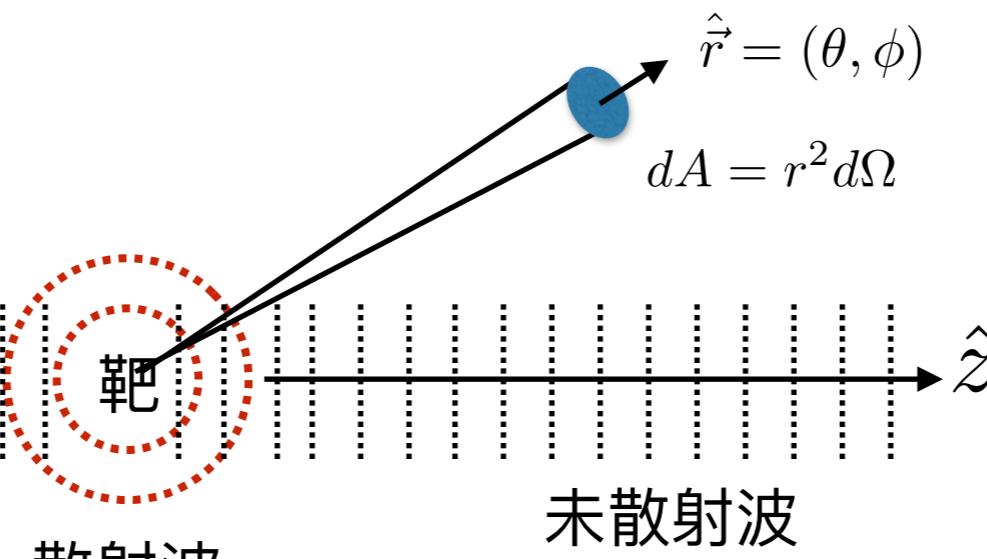
弹性散射过程的波函数

$$\psi_{\text{inc}}(\vec{r}) = \frac{1}{L^{3/2}} e^{i\vec{k} \cdot \vec{r}}$$

入射波



散射波



弹性散射
 $k = k'$

$$\begin{aligned} \vec{k} &\rightarrow \\ \vec{k} \cdot \vec{r} &= kz = kr \cos \theta \end{aligned}$$

$$\psi_{\text{sc}}(r) = \frac{1}{L^{3/2}} f(\hat{n} = \hat{r}) \frac{e^{ikr}}{r} = \frac{1}{L^{3/2}} f(\theta, \phi) \frac{e^{ikr}}{r}$$

散射振幅

总波函数

$$\psi(\vec{r}) = \psi_{\text{inc}}(\vec{r}) + \psi_{\text{sc}}(\vec{r}) = \frac{1}{L^{3/2}} \left(e^{i\vec{k} \cdot \vec{r}} + f(\theta, \phi) \frac{e^{ikr}}{r} \right)$$

$\sim e^{ikr(1 - \cos \theta)}$

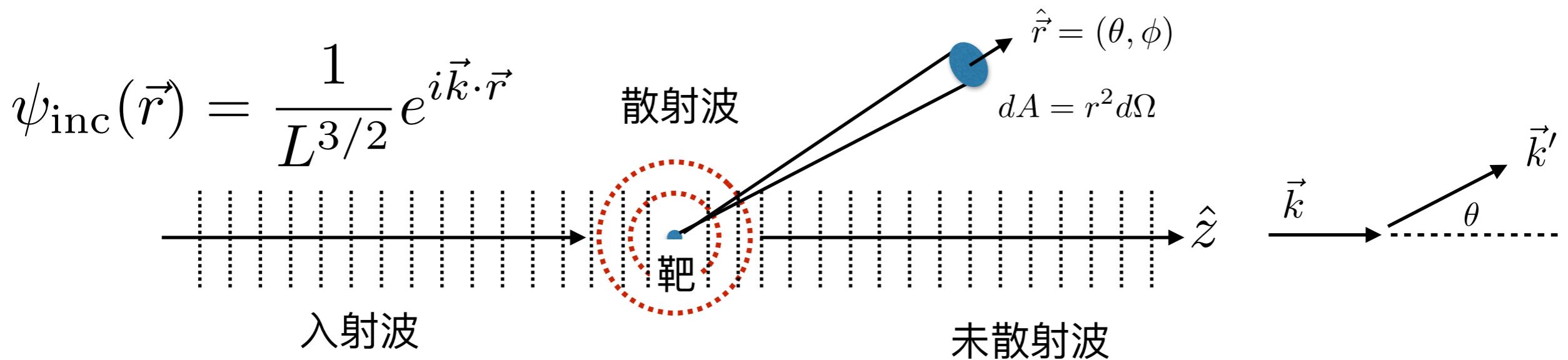
干涉项的相因子

$kr \gg 1$ 相位因子振荡剧烈相消

$\theta \rightarrow 0$ 无干涉相消，但在Z轴方向无探测器

几率流通矢

$$\vec{j}(\vec{r}) = -i \frac{\hbar}{2m} \left(\psi^*(\vec{r}) \nabla \psi(\vec{r}) - \psi(\vec{r}) \nabla \psi^*(\vec{r}) \right)$$



$$\nabla \psi_{\text{inc}}(\vec{r}) = \frac{1}{L^{3/2}} i \vec{k} e^{i \vec{k} \cdot \vec{r}}$$

$$\vec{j}_{\text{inc}} = \frac{1}{L^3} \frac{\hbar \vec{k}}{m} = \frac{1}{L^3} \vec{v}$$

单位时间内通过
单位面积的粒子数



$$\vec{j}_{\text{inc}} = \frac{dN_{\text{inc}}}{dA dt}$$

散射波的几率流通矢

$$\psi_{\text{sc}}(r) = \frac{1}{L^{3/2}} f(\hat{n} = \hat{\vec{r}}) \frac{e^{ikr}}{r} = \frac{1}{L^{3/2}} f(\theta, \phi) \frac{e^{ikr}}{r}$$

散射振幅

$$\nabla \psi_{\text{sc}}(\vec{r}) = \frac{1}{L^{3/2}} \left[\vec{e}_r f(\theta, \phi) \left(ik \frac{e^{ikr}}{r} - \frac{e^{ikr}}{r^2} \right) + \vec{e}_\theta \frac{1}{r} \frac{\partial f(\theta, \phi)}{\partial \theta} \frac{e^{ikr}}{r} + \vec{e}_\phi \frac{1}{r \sin \theta} \frac{\partial f(\theta, \phi)}{\partial \phi} \frac{e^{ikr}}{r} \right]$$

$$\vec{j}_{\text{sc}}(\vec{r}) = \vec{e}_r \frac{1}{L^3} \frac{\hbar k}{m} \frac{|f(\theta, \phi)|^2}{r^2} + \mathcal{O}\left(\frac{1}{r^3}\right)$$

任何 $1/r^3$ 或更高阶项
在远处贡献可忽略

单位时间内散射到 (θ, ϕ) 方向
小面积内的粒子数

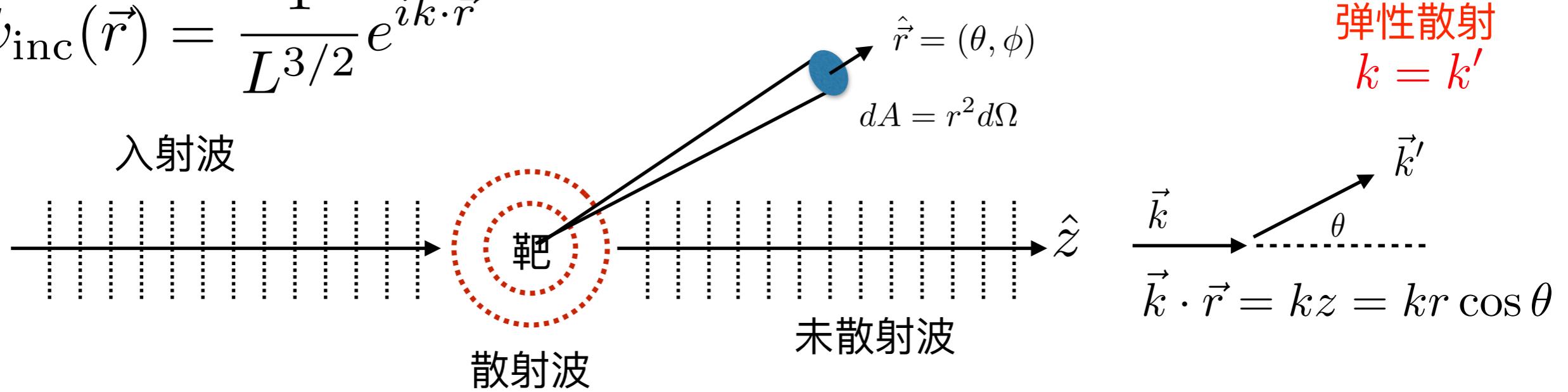
$$\begin{aligned} \vec{j}_{\text{sc}}(\vec{r}) d\vec{A} &= \left[\vec{e}_r \frac{1}{L^3} \frac{\hbar k}{m} \frac{|f(\theta, \phi)|^2}{r^2} \right] \vec{e}_r r^2 d\Omega \\ &= \frac{1}{L^3} \frac{\hbar k}{m} |f(\theta, \phi)|^2 d\Omega \end{aligned}$$



$$\begin{aligned} \frac{dN_{\text{sc}}}{d\Omega dt} &= \frac{\vec{j}_{\text{sc}} \cdot d\vec{A}}{d\Omega} \\ &= \frac{1}{L^3} \frac{\hbar k}{m} |f(\theta, \phi)|^2 \end{aligned}$$

微分散射截面

$$\psi_{\text{inc}}(\vec{r}) = \frac{1}{L^{3/2}} e^{i\vec{k} \cdot \vec{r}}$$



$$\psi_{\text{sc}}(r) = \frac{1}{L^{3/2}} f(\hat{n} = \hat{r}) \frac{e^{ikr}}{r} = \frac{1}{L^{3/2}} f(\theta, \phi) \frac{e^{ikr}}{r}$$

散射振幅

$$\frac{d\sigma}{d\Omega} = \frac{\frac{dN_{\text{sc}}}{d\Omega dt}}{\frac{dN_{\text{inc}}}{dA dt}} = \frac{\frac{1}{L^3} \frac{\hbar k}{m} |f(\theta, \phi)|^2}{\frac{1}{L^3} \frac{\hbar k}{m}} = |f(\theta, \phi)|^2$$

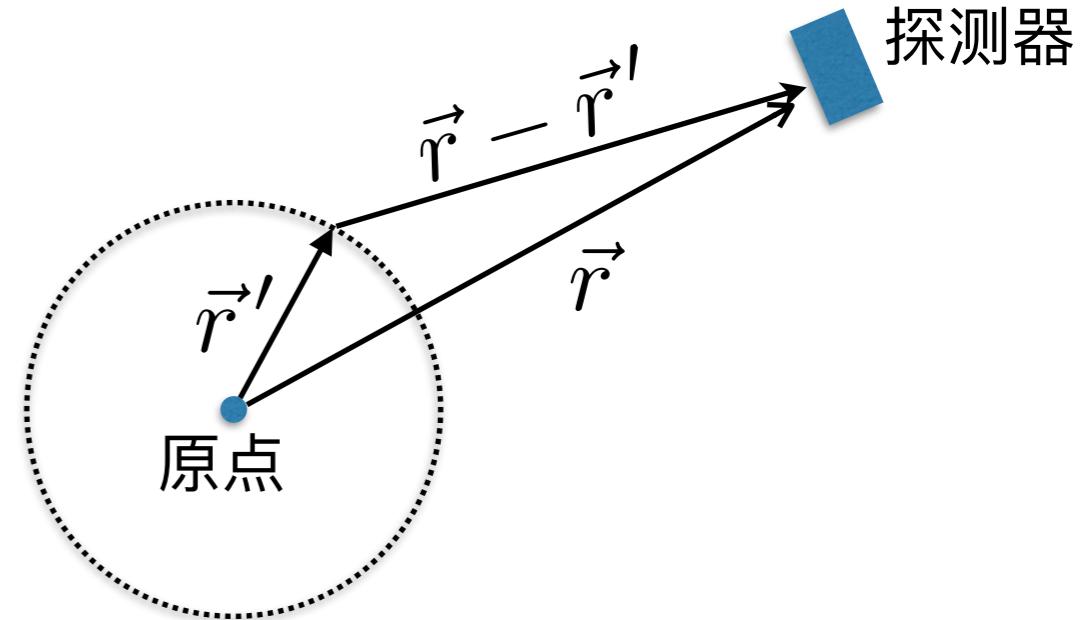
散射的波动方程和近似

散射的波动方程

$$\left(-\frac{\hbar^2}{2m} \nabla^2 + V(\vec{r}) \right) \psi(\vec{r}) = E \psi(\vec{r})$$

$$k^2 = \frac{2mE}{\hbar^2}$$

$$(\nabla^2 + k^2) \psi(\vec{r}) = \frac{2m}{\hbar^2} V(\vec{r}) \psi(\vec{r})$$



积分方程形式解 Lippman-Schwinger方程

$$\psi(\vec{r}) = e^{i\vec{k}\cdot\vec{r}} - \frac{m}{2\pi\hbar^2} \int \frac{e^{ik|\vec{r}-\vec{r}'|}}{|\vec{r}-\vec{r}'|} V(\vec{r}') \psi(\vec{r}') d^3\vec{r}'$$

$$= e^{i\vec{k}\cdot\vec{r}} + \underbrace{\int \left(-\frac{m}{2\pi\hbar^2} \right) \frac{e^{ik|\vec{r}-\vec{r}'|}}{|\vec{r}-\vec{r}'|} V(\vec{r}') \psi(\vec{r}') d^3\vec{r}'}_{G(\vec{r}, \vec{r}')} \psi(\vec{r})$$

$G(\vec{r}, \vec{r}')$ 格林函数

验证 $\psi(\vec{r}) = e^{i\vec{k}\cdot\vec{r}} + \int \left(-\frac{m}{2\pi\hbar^2}\right) \frac{e^{ik|\vec{r}-\vec{r}'|}}{|\vec{r}-\vec{r}'|} V(\vec{r}') \psi(\vec{r}') d^3\vec{r}'$

满足微分形式的波动方程

$$(\nabla^2 + k^2) \psi(\vec{r}) = \frac{2m}{\hbar^2} V(\vec{r}) \psi(\vec{r})$$

第1项 $e^{i\vec{k}\cdot\vec{r}}$: $(\nabla^2 + k^2) e^{i\vec{k}\cdot\vec{r}} = (-k^2 + k^2) e^{i\vec{k}\cdot\vec{r}} = 0$

第2项需要计算 $(\nabla^2 + k^2) \frac{e^{ik|\vec{r}-\vec{r}'|}}{|\vec{r}-\vec{r}'|}$

$$\nabla^2 \frac{e^{ikr}}{r} = -k^2 \frac{e^{ikr}}{r} + e^{ikr} \nabla^2 \frac{1}{r} \quad \nabla^2 \frac{1}{r} = -4\pi \delta(\vec{r})$$

$$\begin{aligned} (\nabla^2 + k^2) \frac{e^{ik|\vec{r}-\vec{r}'|}}{|\vec{r}-\vec{r}'|} &= (-k^2 + k^2) \frac{e^{ik|\vec{r}-\vec{r}'|}}{|\vec{r}-\vec{r}'|} + e^{ik|\vec{r}-\vec{r}'|} \nabla^2 \frac{1}{|\vec{r}-\vec{r}'|} \\ &= -4\pi e^{ik|\vec{r}-\vec{r}'|} \delta(\vec{r} - \vec{r}') = -4\pi \delta(\vec{r} - \vec{r}') \end{aligned}$$

验证 $\psi(\vec{r}) = e^{i\vec{k}\cdot\vec{r}} + \int \left(-\frac{m}{2\pi\hbar^2}\right) \frac{e^{ik|\vec{r}-\vec{r}'|}}{|\vec{r}-\vec{r}'|} V(\vec{r}') \psi(\vec{r}') d^3\vec{r}'$

满足微分形式的波动方程

$$(\nabla^2 + k^2) \psi(\vec{r}) = \frac{2m}{\hbar^2} V(\vec{r}) \psi(\vec{r})$$

第1项: $(\nabla^2 + k^2) e^{i\vec{k}\cdot\vec{r}} = (-k^2 + k^2) e^{i\vec{k}\cdot\vec{r}} = 0$

第2项: $(\nabla^2 + k^2) \frac{e^{ik|\vec{r}-\vec{r}'|}}{|\vec{r}-\vec{r}'|} = -4\pi \delta(\vec{r} - \vec{r}')$

故而

$$\begin{aligned} (\nabla^2 + k^2) \psi(\vec{r}) &= -\frac{m}{2\pi\hbar^2} (-4\pi) \int \delta(\vec{r} - \vec{r}') V(\vec{r}') \psi(\vec{r}') d^3\vec{r}' \\ &= \frac{2m}{\hbar} V(\vec{r}) \psi(\vec{r}) \end{aligned}$$

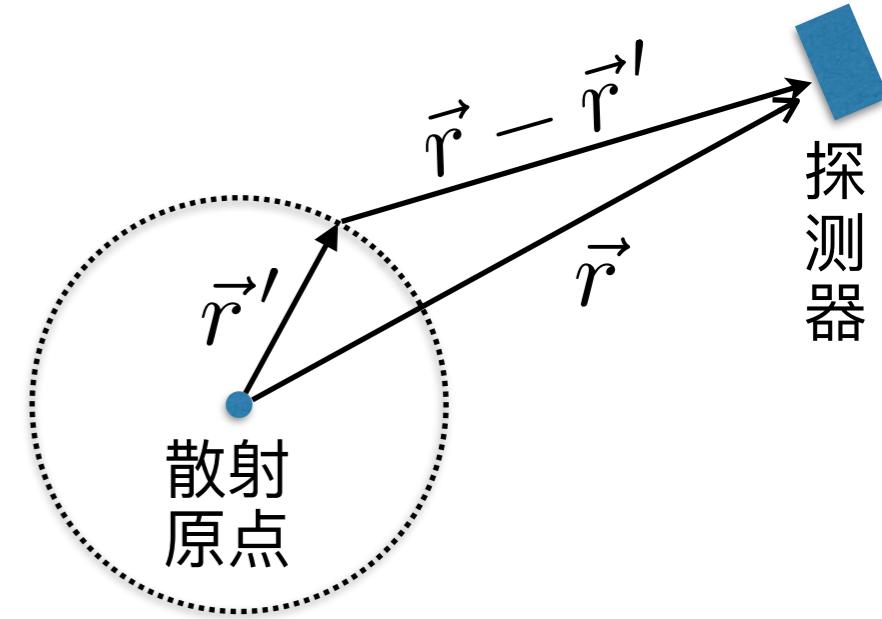
求解 $\psi(\vec{r}) = e^{i\vec{k}\cdot\vec{r}} + \int \left(-\frac{m}{2\pi\hbar^2}\right) \frac{e^{ik|\vec{r}-\vec{r}'|}}{|\vec{r}-\vec{r}'|} V(\vec{r}') \psi(\vec{r}') d^3\vec{r}'$

考慮 $|\vec{r}| \gg |\vec{r}'|$

$$K|\vec{r} - \vec{r}'| = k\sqrt{\vec{r}^2 - 2\vec{r}\cdot\vec{r}' + \vec{r}'^2}$$

$$\approx kr - k\frac{\vec{r}}{r} \cdot \vec{r}' = kr - \vec{k} \cdot \vec{r}'$$

$$\frac{1}{|\vec{r} - \vec{r}'|} = \frac{1}{r} \frac{1}{\left|1 - \frac{\vec{r}\cdot\vec{r}'}{\vec{r}^2}\right|} \approx \frac{1}{r} \left(1 + \frac{\vec{r}\cdot\vec{r}'}{\vec{r}^2}\right) \approx \frac{1}{r}$$



→ $\frac{e^{ik|\vec{r}-\vec{r}'|}}{|\vec{r}-\vec{r}'|} \approx \frac{e^{ikr}}{r} e^{-ik\hat{e}_r \cdot \vec{r}'} = \frac{e^{ikr}}{r} e^{-i\vec{k}' \cdot \vec{r}'}$

$\vec{k}' \equiv \hat{k}\vec{r}$
波矢沿着
探测器方向

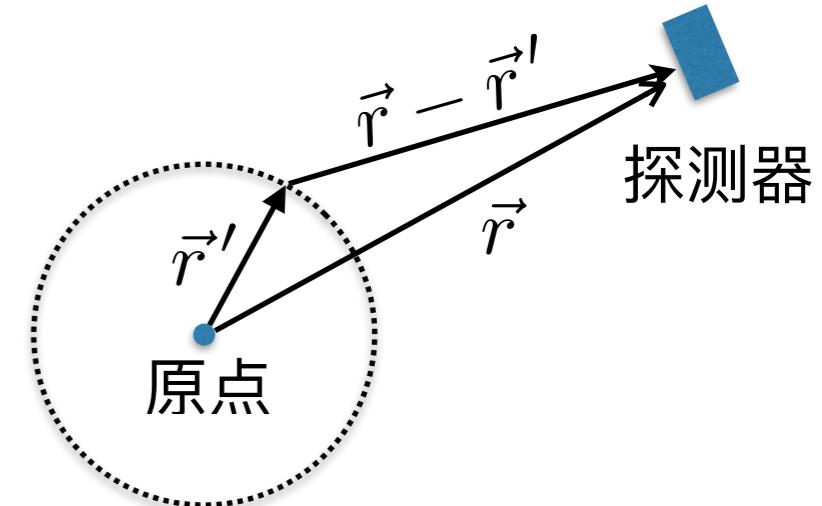
故

$$\psi(\vec{r}) \xrightarrow[r \rightarrow \infty]{} e^{i\vec{k}\cdot\vec{r}} - \left[\frac{m}{2\pi\hbar^2} \int e^{-i\vec{k}' \cdot \vec{r}'} V(\vec{r}') \psi(\vec{r}') d^3\vec{r}' \right] \frac{e^{ikr}}{r}$$

求解 $\psi(\vec{r}) = e^{i\vec{k}\cdot\vec{r}} + \int \left(-\frac{m}{2\pi\hbar^2}\right) \frac{e^{ik|\vec{r}-\vec{r}'|}}{|\vec{r}-\vec{r}'|} V(\vec{r}') \psi(\vec{r}') d^3\vec{r}'$

考虑 $|\vec{r}| \gg |\vec{r}'|$

定义 $\vec{k}' \equiv \hat{k}\vec{r}$ 为波矢沿着探测器方向 (θ, ϕ)



$$\psi(\vec{r}) \xrightarrow[r \rightarrow \infty]{} e^{i\vec{k}\cdot\vec{r}} - \left[\frac{m}{2\pi\hbar^2} \int e^{-i\vec{k}'\cdot\vec{r}'} V(\vec{r}') \psi(\vec{r}') d^3\vec{r}' \right] \frac{e^{ikr}}{r}$$

与 $\psi(\vec{r}) = \frac{1}{L^{3/2}} \left(e^{i\vec{k}\cdot\vec{r}} + f(\theta, \phi) \frac{e^{ikr}}{r} \right)$ 对比可知

$$f(\theta, \phi) = -\frac{m}{2\pi\hbar^2} \int e^{-i\vec{k}'\cdot\vec{r}'} V(\vec{r}') \psi(\vec{r}') d^3\vec{r}'$$

求解 $f(\theta, \phi)$ 仍然需要知道 $\psi(\vec{r})$ 的信息 \longrightarrow 近似迭代求解

近似迭代求解

1) $V(\vec{r}) = 0$ 时, $\psi^{(0)} = e^{i\vec{k}\cdot\vec{r}}$

2) 代入到Lippman-Schwinger方程中

$$\psi^{(1)}(\vec{r}) = \psi^{(0)}(\vec{r}) + \underbrace{\int \left(-\frac{m}{2\pi\hbar^2}\right) \frac{e^{ik|\vec{r}-\vec{r}'|}}{|\vec{r}-\vec{r}'|} \psi^{(0)}(\vec{r}') V(\vec{r}') d^3\vec{r}'}_{G(\vec{r}, \vec{r}')}$$

3) $\psi^{(1)}$ 代入到Lippman-Schwinger方程中

$$\Rightarrow \psi^{(2)} \Rightarrow \psi^{(3)} \Rightarrow \dots$$

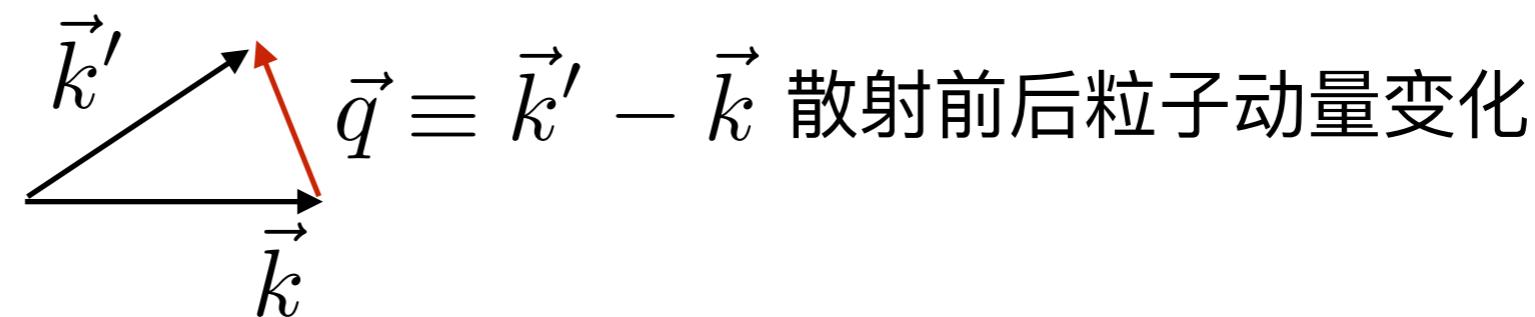
$$\begin{aligned} \psi(\vec{r}) &= \psi^{(0)}(\vec{r}) + \int d\vec{r}' G(\vec{r}, \vec{r}') V(\vec{r}') \psi^{(0)}(\vec{r}') \\ &+ \int d\vec{r}' \int d\vec{r}'' G(\vec{r}, \vec{r}') G(\vec{r}', \vec{r}'') V(\vec{r}'') V(\vec{r}') \\ &+ \dots \end{aligned}$$

玻恩 (Born) 近似

玻恩近似

势场非常微弱时，只需计算第一阶微扰修正

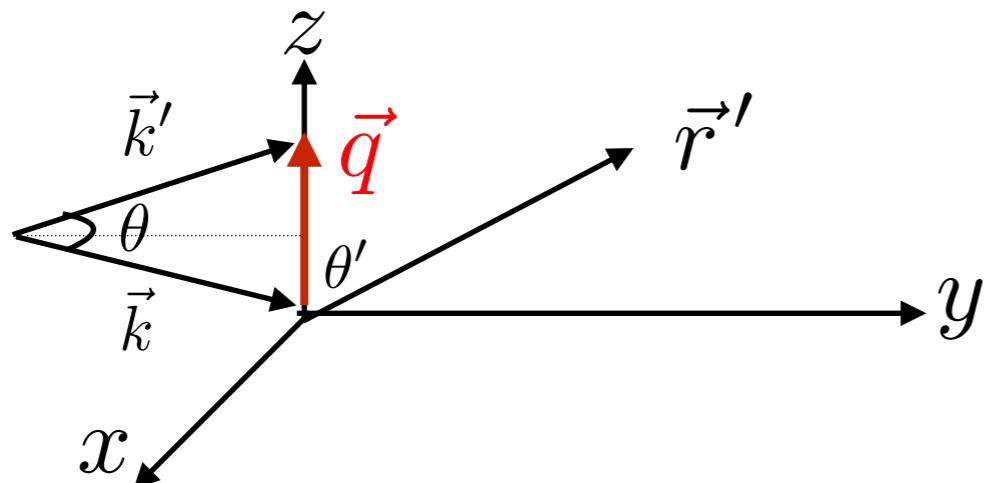
$$\begin{aligned} f_B(\theta, \phi) &= -\frac{m}{2\pi\hbar^2} \int e^{-i\vec{k}' \cdot \vec{r}'} V(\vec{r}') e^{i\vec{k} \cdot \vec{r}'} d^3 \vec{r}' \\ &= -\frac{m}{2\pi\hbar^2} \int e^{-i\vec{q} \cdot \vec{r}'} V(\vec{r}') d\vec{r}' \end{aligned}$$



散射振幅仅仅是势场 $V(\vec{r})$ 相对于 \vec{q} 的傅里叶变换

$$\psi^{(0)} = e^{i\vec{k} \cdot \vec{r}'}$$

对于中心势场，散射与方位角 ϕ 无关，取 \vec{q} 方向为 z 轴



$$\vec{q} \cdot \vec{r}' = qr' \cos \theta'$$

$$q = |\vec{k}' - \vec{k}| = 2k \sin \frac{\theta}{2}$$

$$\begin{aligned} \int e^{-i\vec{q}\cdot\vec{r}'} V(\vec{r}') d\vec{r}' &= \int_0^\infty dr' r'^2 V(r') \int_0^{2\pi} d\phi \int_0^\pi d\theta' \sin \theta' e^{-iqr' \cos \theta'} \\ &= \frac{2\pi}{iq} \int_0^\infty dr' r' V(r') (e^{iqr'} - e^{-iqr'}) \\ &= \frac{4\pi}{q} \int_0^\infty dr' r' \sin(qr') V(r') \end{aligned}$$

$$\rightarrow f_B(q) = -\frac{2m}{\hbar^2 q} \int_0^\infty dr' r' \sin(qr') V(r')$$

$$\rightarrow \frac{d\sigma}{d\Omega} = |f(\theta)|^2 = \frac{4m^2}{\hbar^4 q^2} \left| \int_0^\infty dr' r' \sin(qr') V(r') \right|^2$$

玻恩近似的跃迁图像

$V(\vec{r})$ 很弱时，可将之视作为微扰

散射：常微扰作用下， $|\psi_{\vec{p}}\rangle \rightarrow |\psi_{\vec{p}'}\rangle$ 跃迁

跃迁矩阵元

$$V_{\vec{p}'\vec{p}} = \langle \psi_{\vec{p}'} | \hat{V} | \psi_{\vec{p}} \rangle$$

$$= \int_{\Omega} \frac{1}{L^{3/2}} e^{-i \frac{\vec{p}' \cdot \vec{r}}{\hbar}} V(\vec{r}) \frac{1}{L^{3/2}} e^{i \frac{\vec{p} \cdot \vec{r}}{\hbar}} d^3 \vec{r}$$

$$= \frac{1}{L^3} \int_{\Omega} V(\vec{r}) e^{\frac{i}{\hbar} (\vec{p} - \vec{p}') \cdot \vec{r}} d^3 \vec{r}$$

$$\underbrace{V(\vec{p}' - \vec{p})}_{V(\vec{p}' - \vec{p})}$$

能量 $E_{\vec{p}'}$ 态密度函数

$$\rho(E_{\vec{p}'}) dE_{\vec{p}'} = \frac{L^3}{(2\pi\hbar)^3} d^3 \vec{p}'$$
$$= \frac{L^3}{(2\pi\hbar)^3} (p')^2 dp' d\Omega$$

末态自由粒子

$$dE_{\vec{p}'} = \frac{p'}{m} dp'$$

故

$$\rho(E_{\vec{p}'}) = \frac{L^3 m p'}{(2\pi\hbar)^3} d\Omega$$

玻恩近似的跃迁图像

$V(\vec{r})$ 很弱时，可将之视作为微扰

散射：常微扰作用下， $|\psi_{\vec{p}}\rangle \rightarrow |\psi_{\vec{p}'}\rangle$ 跃迁

由费米黄金规则知，跃迁几率为

$$\begin{aligned} W_{\vec{p}'\vec{p}} &= \frac{2\pi}{\hbar} |V_{\vec{p}'\vec{p}}|^2 \rho(E_{\vec{p}'}) = \frac{2\pi}{\hbar} |V_{\vec{p}'\vec{p}}|^2 \frac{L^3 mp'}{(2\pi\hbar)^3} d\Omega \\ &= \frac{2\pi}{\hbar} |V(\vec{p}' - \vec{p})|^2 \frac{1}{(2\pi\hbar)^3} \frac{mp'}{L^3} d\Omega \end{aligned}$$

散射截面定义

$$W_{\vec{p}'\vec{p}} \equiv j_{\text{inc}} \sigma(\theta) d\Omega = \frac{p}{mL^3} \sigma(\theta) d\Omega = \frac{p'}{mL^3} \sigma(\theta) d\Omega$$

故而有

$$\begin{aligned} \sigma(\theta) &= \frac{m^2}{4\pi^2\hbar^4} |V(\vec{p} - \vec{p}')|^2 = \frac{m^2}{4\pi^2\hbar^4} \left| \int e^{\frac{i}{\hbar}(\vec{p} - \vec{p}') \cdot \vec{r}'} V(\vec{r}') d^3 \vec{r}' \right|^2 \\ &= \frac{4m^2}{\hbar^4 q^2} \left| \int_0^\infty dr' r' \sin(qr') V(r') \right|^2 \end{aligned}$$

玻恩近似的适用条件

$$\psi(\vec{r}) = e^{i\vec{k}\cdot\vec{r}} + \int \left(-\frac{m}{2\pi\hbar^2}\right) \frac{e^{ik|\vec{r}-\vec{r}'|}}{|\vec{r}-\vec{r}'|} V(\vec{r}') \psi(\vec{r}') d^3\vec{r}'$$

第二项贡献远小于第一项（入射波）：

$$\left| \frac{m}{2\pi\hbar^2} \int \frac{e^{ik|\vec{r}-\vec{r}'|}}{|\vec{r}-\vec{r}'|} V(\vec{r}') e^{i\vec{k}\cdot\vec{r}'} d^3\vec{r}' \right| \ll |\psi_{\text{inc}}(\vec{r})| = 1$$

考虑弹性散射，并且假设势函数在 $r=0$ 处最大，

$$\left| \frac{m}{\hbar^2} \int_0^\infty r' e^{ikr'} V(r') dr' \int_0^\pi e^{ikr' \cos\theta'} \sin\theta' d\theta' \right| \ll 1$$

$$\rightarrow \frac{m}{\hbar^2 k} \left| \int_0^\infty V(r') (e^{2iqr'} - 1) dr' \right| \ll 1 \quad E_{\text{inc}} = \frac{\hbar^2 k^2}{2m}$$

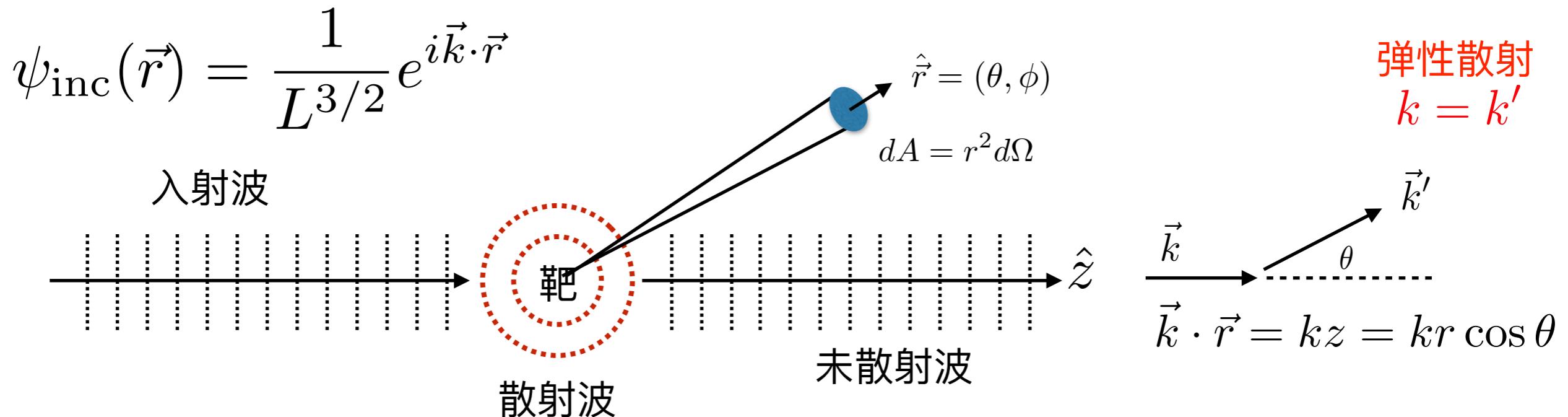
高能低势 → 平面波近似适用

分波法



不依赖于势场强弱

弹性散射的分波分析

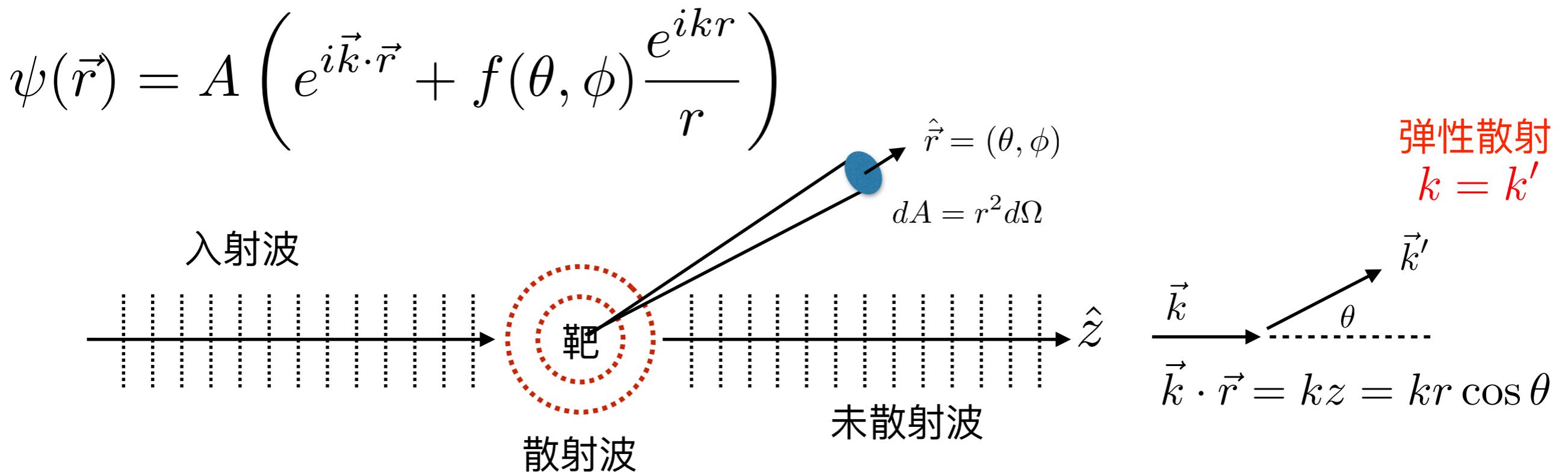


球对称势 $V(\vec{r}) = V(r) \rightarrow [\hat{H}, \hat{\vec{L}}] = 0 \rightarrow \hat{L}_{x,y,z}$ 守恒量

入射平面波按照球面波展开

$$e^{i\vec{k} \cdot \vec{r}} = e^{ik_z z} = e^{ikr \cos \theta} = \sum_{\ell=0}^{\infty} i^\ell (2\ell + 1) j_\ell(kr) P_\ell(\cos \theta)$$

问：每个分波（不同 ℓ 值）被 $V(r)$ 改变的情况？



$$\psi(r, \theta) = A \left[\sum_{\ell=0}^{\infty} i^{\ell} (2\ell + 1) j_{\ell}(kr) P_{\ell}(\cos \theta) + f(\theta, \phi) \frac{e^{ikr}}{r} \right]$$

探测器远离靶 ($r \rightarrow \infty$): $j_{\ell}(kr) \xrightarrow{r \rightarrow \infty} \frac{\sin(kr - \frac{\ell\pi}{2})}{kr}$

$$\psi(r, \theta) \rightarrow A \left[\sum_{\ell=0}^{\infty} i^{\ell} (2\ell + 1) P_{\ell}(\cos \theta) \frac{\sin(kr - \frac{\ell\pi}{2})}{kr} + f(\theta, \phi) \frac{e^{ikr}}{r} \right]$$

$$\begin{aligned}
\sin \left(kr - \frac{\ell\pi}{2} \right) &= \frac{1}{2i} \left[e^{i(kr - \ell\pi/2)} - e^{-i(kr - \ell\pi/2)} \right] \\
&= \frac{1}{2i} \left[e^{ikr} e^{-i\ell\pi/2} - e^{-ikr} e^{i\ell\pi/2} \right] \\
&\downarrow \qquad \qquad \qquad e^{\pm i \frac{\ell\pi}{2}} = \left(e^{\pm i \frac{\pi}{2}} \right)^\ell = (\pm i)^\ell \\
&= \frac{1}{2i} \left[(-i)^\ell e^{ikr} - i^\ell e^{-ikr} \right]
\end{aligned}$$

故而

$$\begin{aligned}
\psi(r, \theta) \rightarrow & - \frac{e^{-ikr}}{2ikr} \sum_{\ell=0}^{\infty} i^{2\ell} (2\ell+1) P_\ell(\cos \theta) \\
& + \frac{e^{ikr}}{r} \left[f(\theta) + \frac{1}{2ik} \sum_{\ell=0}^{\infty} i^\ell (-i)^\ell (2\ell+1) P_\ell(\cos \theta) \right]
\end{aligned}$$

↑
求解

定态薛定谔方程的一般解

中心势场中定态SE的一般解形式是

$$\psi(\vec{r}) = \sum_{\ell m} C_{\ell m} R_{k\ell}(r) Y_{\ell m}(\theta, \phi)$$

散射波函数和 ϕ 角无关，可取 $m = 0$

$$\psi(r, \theta) = \sum_{\ell=0} a_{\ell} R_{k\ell}(r) P_{\ell}(\cos \theta)$$

其中 $R_{k\ell}(r)$ 满足

$$\left[\frac{d^2}{dr^2} + k^2 - \frac{\ell(\ell+1)}{r} \right] (r R_{k\ell}) = \frac{2m}{\hbar^2} V(r) (r R_{k\ell})$$

分波法求解 $f(\theta)$ 的思路

中心势场中弹性散射过程的波函数：

$$\psi(\vec{r}) = A \left(e^{i\vec{k} \cdot \vec{r}} + f(\theta, \phi) \frac{e^{ikr}}{r} \right)$$

$$\psi(r, \theta) = \sum_{\ell=0} a_\ell R_{k\ell}(r) P_\ell(\cos \theta)$$

这两个公式描述了同一物理过程，那么它们是完全等价的。

问：是否可以利用 $r \rightarrow \infty$ 处的渐进行为求解 $f(\theta)$

或 $f(\theta) \sim a_\ell$ 之间的关系？

$R_{k\ell}(r)$ 在 $r \rightarrow \infty$ 时渐进行为

$r \rightarrow \infty$ 时

$$\left(\frac{d^2}{dr^2} + k^2 \right) (r R_{k\ell}(r)) = 0$$

通解

$$R_{k\ell}(r) = A_\ell j_\ell(kr) + B_\ell \eta_\ell(kr)$$

$$j_\ell(kr) \xrightarrow{r \rightarrow \infty} \frac{\sin(kr - \ell\pi/2)}{kr}$$

$$\eta_\ell(kr) \xrightarrow{r \rightarrow \infty} -\frac{\cos(kr - \ell\pi/2)}{kr}$$

$$R_{k\ell}(kr) \xrightarrow{r \rightarrow \infty} A_\ell \frac{\sin(kr - \ell\pi/2)}{kr} - B_\ell \frac{\cos(kr - \ell\pi/2)}{kr}$$

$R_{k\ell}(r)$ 在 $r \rightarrow \infty$ 时渐进行为

$$R_{k\ell}(kr) \xrightarrow{r \rightarrow \infty} A_\ell \frac{\sin(kr - \ell\pi/2)}{kr} - B_\ell \frac{\cos(kr - \ell\pi/2)}{kr}$$

令 $C_\ell^2 = A_\ell^2 + B_\ell^2$, 则 $\begin{cases} A_\ell = C_\ell \cos \delta_\ell \\ B_\ell = -C_\ell \sin \delta_\ell \end{cases} \tan \delta_\ell = -\frac{B_\ell}{A_\ell}$

其中 $\delta_\ell = -\arctan\left(\frac{B_\ell}{A_\ell}\right)$ 由势场的性质和波函数边界条件确定

$$\begin{aligned} R_{k\ell}(kr) &\xrightarrow{r \rightarrow \infty} C_\ell \cos \delta_\ell \frac{\sin(kr - \ell\pi/2)}{kr} \\ &+ C_\ell \sin \delta_\ell \frac{\cos(kr - \ell\pi/2)}{kr} \\ &= C_\ell \frac{\sin\left(kr - \frac{\ell\pi}{2} + \delta_\ell\right)}{kr} \end{aligned}$$

$R_{k\ell}(r)$ 在 $r \rightarrow \infty$ 时渐进行为

$$R_{k\ell}(kr) \xrightarrow{r \rightarrow \infty} C_\ell \frac{\sin(kr - \frac{\ell\pi}{2} + \delta_\ell)}{kr}$$

当 $V(r)=0$ 时, $\delta_\ell = 0$, 则

$$R_{k\ell}(r) \rightarrow C_\ell \frac{\sin(kr - \ell\pi/2)}{kr} \sim j_\ell(kr)$$

此时通解就是平面波按照球面波展开的形式

δ_ℓ : 相移 (phase shift)

它刻画在大 r 处 $R_{k\ell}(r)$ 和 $j_\ell(kr)$ 的偏离程度

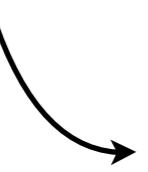
相移是由于 $V(r)$ 造成的, 所以我们猜测 $f(\theta)$ 应该依赖于 δ_ℓ

将 $R_{k\ell}(r)$ 代入到中心势场散射问题的通解

$$\psi(r, \theta) = \sum_{\ell=0} a_\ell R_{k\ell}(r) P_\ell(\cos \theta)$$

我们得到通解波函数在大 r 处的渐进行为

$$\psi(r, \theta) \xrightarrow{r \rightarrow \infty} \sum_{\ell=0} a_\ell P_\ell(\cos \theta) \frac{\sin(kr - \frac{\ell\pi}{2} + \delta_\ell)}{kr}$$

 形变平面波 (和平面波展开式仅仅相差一个相移因子)

因 $\sin(kr - \frac{\ell\pi}{2} + \delta_\ell) = \frac{1}{2i} [(-i)^\ell e^{ikr} e^{i\delta_\ell} - i^\ell e^{-ikr} e^{-i\delta_\ell}]$

故 $\psi(r, \theta) \rightarrow -\frac{e^{-ikr}}{2ikr} \sum_{\ell=0} a_\ell i^\ell e^{-i\delta_\ell} P_\ell(\cos \theta)$

$$+ \frac{e^{ikr}}{2ikr} \sum_{\ell=0} a_\ell (-i)^\ell e^{i\delta_\ell} P_\ell(\cos \theta)$$

通解波函数和总波函数在 $r \rightarrow \infty$ 处的渐进行为

通解 $\psi(r, \theta) \rightarrow -\frac{e^{-ikr}}{2ikr} \sum_{\ell=0} a_{\ell} i^{\ell} e^{-i\delta_{\ell}} P_{\ell}(\cos \theta)$
 $+ \frac{e^{ikr}}{2ikr} \sum_{\ell=0} a_{\ell} (-i)^{\ell} e^{i\delta_{\ell}} P_{\ell}(\cos \theta)$

分波解

$$\psi(r, \theta) \rightarrow -\frac{e^{-ikr}}{2ikr} \sum_{\ell=0}^{\infty} i^{2\ell} (2\ell + 1) P_{\ell}(\cos \theta)$$
 $+ \frac{e^{ikr}}{r} \left[f(\theta) + \frac{1}{2ik} \sum_{\ell=0}^{\infty} i^{\ell} (-i)^{\ell} (2\ell + 1) P_{\ell}(\cos \theta) \right]$

I) e^{-ikr} 项系数相等

$$(2\ell + 1) i^{2\ell} = a_{\ell} i^{\ell} e^{-i\delta_{\ell}} \longrightarrow a_{\ell} = (2\ell + 1) i^{\ell} e^{i\delta_{\ell}}$$

2) e^{ikr} 项系数相等

$$\begin{aligned}
 & f(\theta) + \frac{1}{2ik} \sum_{\ell=0}^{\infty} i^\ell (-i)^\ell (2\ell+1) P_\ell(\cos \theta) \\
 &= \frac{1}{2ki} \sum_{\ell=0}^{\infty} a_\ell (-i)^\ell e^{i\delta_\ell} P_\ell(\cos \theta) \quad a_\ell = (2\ell+1)i^\ell e^{i\delta_\ell} \\
 &= \frac{1}{2ki} \sum_{\ell=0}^{\infty} (2\ell+1)i^\ell (-i)^\ell e^{2i\delta_\ell} P_\ell(\cos \theta) \\
 \xrightarrow{\textcolor{blue}{\rightarrow}} \quad & f(\theta) = \frac{1}{2ki} \sum_{\ell=0}^{\infty} (2\ell+1) (e^{2i\delta_\ell} - 1) P_\ell(\cos \theta) \\
 &= \frac{1}{k} \sum_{\ell=0}^{\infty} (2\ell+1) e^{i\delta_\ell} \sin \delta_\ell P_\ell(\cos \theta) \equiv \sum_{\ell=0} f_\ell(\theta)
 \end{aligned}$$

分波振幅

$$e^{2i\delta_\ell} - 1 = e^{i\delta_\ell} (e^{i\delta_\ell} - e^{-i\delta_\ell}) = e^{i\delta_\ell} 2i \sin \delta_\ell$$

微分散射截面

$$\begin{aligned}\frac{d\sigma}{d\Omega} &= |f(\theta)|^2 \\ &= \frac{1}{k^2} \sum_{\ell=0}^{\infty} \sum_{\ell'=0}^{\infty} (2\ell + 1)(2\ell' + 1) e^{i(\delta_\ell - \delta_{\ell'})} \\ &\quad \times \sin \delta_\ell \sin \delta_{\ell'} P_\ell(\cos \theta) P_{\ell'}(\cos \theta)\end{aligned}$$

总截面

$$\begin{aligned}
\sigma_{\text{total}} &= \int \frac{d\sigma}{d\Omega} d\Omega = \int_0^\pi |f(\theta)|^2 \sin \theta d\theta \int_0^{2\pi} d\phi \\
&= \frac{2\pi}{k^2} \sum_{\ell=0}^{\infty} \sum_{\ell'=0}^{\infty} (2\ell + 1)(2\ell' + 1) e^{i(\delta_\ell - \delta_{\ell'})} \sin \delta_\ell \sin \delta_{\ell'} \\
&\quad \times \int_0^\pi P_\ell(\cos \theta) P_{\ell'}(\cos \theta) \sin \theta d\theta \xrightarrow{\quad} \frac{2}{2\ell + 1} \delta_{\ell\ell'} \\
&= \frac{4\pi}{k^2} \sum_{\ell=0}^{\infty} (2\ell + 1) \sin^2 \delta_\ell \\
&= \sum_{\ell=0}^{\infty} \sigma_\ell \quad \sigma_\ell : \text{分波散射截面}
\end{aligned}$$

光学定理

总散射截面和向前散射振幅 $f(\theta = 0)$ 之间关系

因为 $P_\ell(1) = P_\ell(\cos 0) = 1$

故
$$\begin{aligned} f(\theta = 0) &= \frac{1}{k} \sum_{\ell}^{\infty} (2\ell + 1) e^{i\delta_\ell} \sin \delta_\ell \\ &= \frac{1}{k} \sum_{\ell=0}^{\infty} (2\ell + 1) (\sin \delta_\ell \cos \delta_\ell + i \sin^2 \delta_\ell) \end{aligned}$$

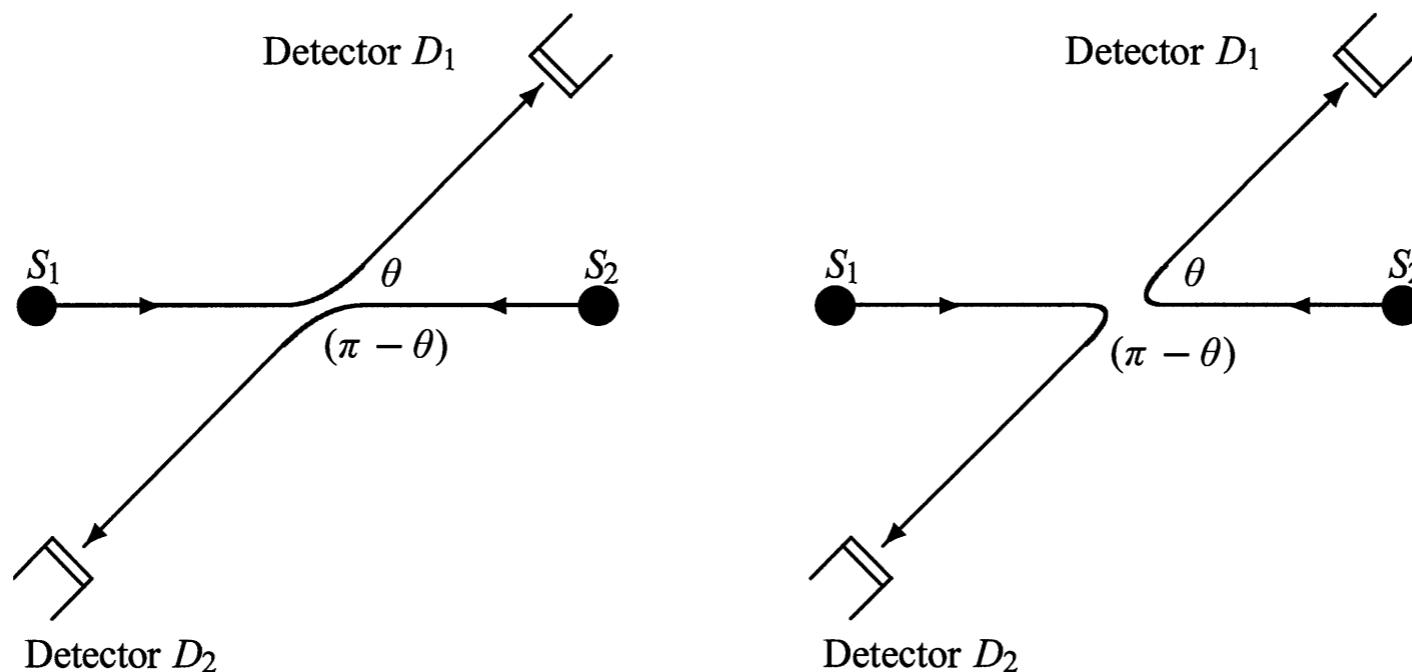
又因 $\sigma_{\text{tot}} = \frac{4\pi}{k^2} \sum_{\ell=0}^{\infty} (2\ell + 1) \sin^2 \delta_\ell$

所以 $\sigma_{\text{tot}} = \frac{4\pi}{k^2} \text{Im} f(\theta = 0)$ 几率守恒
(前向丢失粒子都被散射了)

全同粒子散射

两个全同玻色子散射

经典物理: $\sigma_{\text{cl}}(\theta) = \sigma(\theta) + \sigma(\pi - \theta)$



量子物理: 无法区分上面两种情况

$$\psi_{\text{sym}}(\vec{r}) \rightarrow e^{i\vec{k}_0 \cdot \vec{r}} + e^{-i\vec{k}_0 \cdot \vec{r}} + f_{\text{sym}}(\theta) \frac{e^{ikr}}{r}$$

→ $f_B(\theta) = f(\theta) + f(\pi - \theta)$ 对称的散射振幅

两全同玻色子散射微分散射截面

$$f_B(\theta) = f(\theta) + f(\pi - \theta)$$

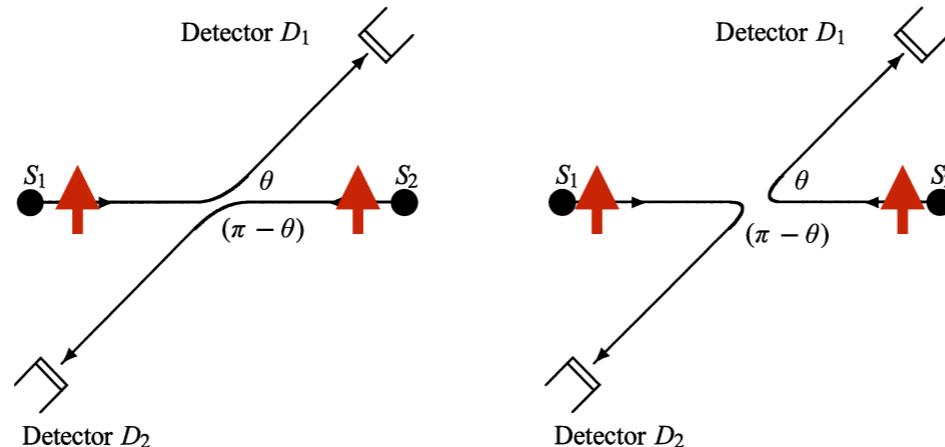
$$\begin{aligned} \frac{d\sigma_B}{d\Omega} &= |f(\theta) + f(\pi - \theta)|^2 \\ &= |f(\theta)|^2 + |f(\pi - \theta)|^2 + f(\theta)^* f(\pi - \theta) + f(\theta) f^*(\pi - \theta) \\ &= |f(\theta)|^2 + |f(\pi - \theta)|^2 + \underline{2\Re [f(\theta)^* f(\pi - \theta)]} \end{aligned}$$

量子干涉效应

$$\theta = \frac{\pi}{2} \quad \left\{ \begin{array}{ll} \frac{d\sigma_B}{d\Omega} = 4 \left| f\left(\frac{\pi}{2}\right) \right|^2 & \text{量子不可区分玻色子对} \\ \frac{d\sigma_{\text{cl}}}{d\Omega} = 2 \left| f\left(\frac{\pi}{2}\right) \right|^2 & \text{经典不可区分玻色子对} \\ \frac{d\sigma_{\text{dif}}}{d\Omega} = \left| f\left(\frac{\pi}{2}\right) \right|^2 & \text{经典可区分玻色子对} \end{array} \right.$$

两个全同费米子散射

空间波函数的对称性质取决于自旋空间波函数



$$\uparrow s = \frac{1}{2}$$

总自旋 $S=1$:

$$\frac{d\sigma_A}{d\Omega} = |f(\theta) - f(\pi - \theta)|^2$$

$$\begin{pmatrix} \uparrow_1 \uparrow_2 \\ \frac{1}{\sqrt{2}}(\uparrow_1 \downarrow_2 + \downarrow_1 \uparrow_2) \\ \downarrow_1 \downarrow_2 \end{pmatrix}$$

总自旋 $S=0$:

$$\frac{d\sigma_S}{d\Omega} = |f(\theta) + f(\pi - \theta)|^2$$

$$\frac{1}{\sqrt{2}}(\uparrow_1 \downarrow_2 - \downarrow_1 \uparrow_2)$$

非极化情况:

$$\begin{aligned} \frac{d\sigma_{\text{unpol}}}{d\Omega} &= \frac{3}{4} \frac{d\sigma_A}{d\Omega} + \frac{1}{4} \frac{d\sigma_S}{d\Omega} \\ &= \frac{3}{4} |f(\theta) - f(\pi - \theta)|^2 + \frac{1}{4} |f(\theta) + f(\pi - \theta)|^2 \\ &= |f(\theta)|^2 + |f(\pi - \theta)|^2 - \Re[f^*(\theta)f(\pi - \theta)] \end{aligned}$$

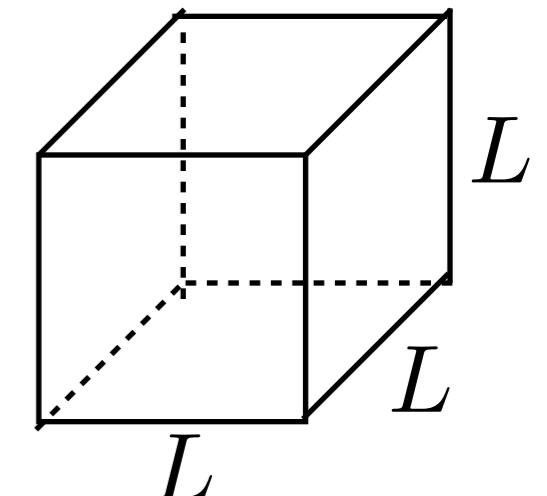
附录

平面波——箱归一化

箱归一化（具有分立谱的动量本征函数）

动量连续谱 \longrightarrow 离散谱 \longrightarrow 连续谱
 $\Delta p \rightarrow 0$

设自由粒子处于边长为L的正方形箱子中



不失一般性，考虑x方向。设动量本征函数为 $\psi = A e^{\frac{ipx}{\hbar}}$

归一化：
$$\int_{-L/2}^{L/2} |\psi(x)|^2 dx = |A|^2 \int_{-L/2}^{L/2} dx = |A|^2 L = 1$$

$$\rightarrow A = \frac{1}{\sqrt{L}} \rightarrow \psi_p(x) = \frac{1}{\sqrt{L}} e^{\frac{ipx}{\hbar}}$$

平面波——箱归一化

利用边界条件确定 p_x 的本征值

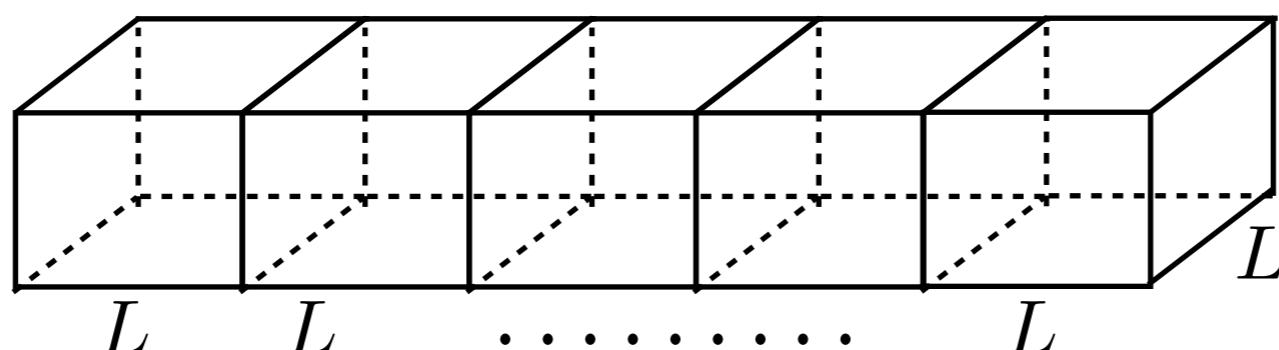
$$\int_{-L/2}^{L/2} \psi^* \hat{p}_x \phi dx = -i\hbar (\psi^* \phi) \Big|_{-L/2}^{L/2} + \int_{-L/2}^{L/2} (\hat{p}_x \psi)^* \phi dx$$

\hat{p}_x 的厄米性要求，对任意的 ψ 和 ϕ 都有 $(\psi^* \phi) \Big|_{-L/2}^{L/2} = 0$

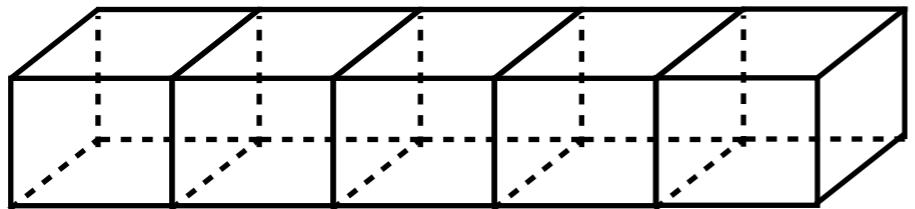
令 $\phi = \psi$ 且设其为 \hat{p}_x 的本征函数，则有

$$|\psi(-L/2)| = |\psi(+L/2)|$$

考虑周期性边界条件 $\psi(-L/2) = \psi(+L/2)$



平面波——箱归一化



$$\psi(-L/2) = \psi(+L/2)$$

周期性边界条件

$$\frac{1}{\sqrt{L}} e^{\frac{i}{\hbar} p(-\frac{L}{2})} = \frac{1}{\sqrt{L}} e^{\frac{i}{\hbar} p(+\frac{L}{2})} \rightarrow e^{\frac{i}{\hbar} pL} = 1 \rightarrow \frac{pL}{\hbar} = 2n\pi$$

$$\rightarrow p_n = \frac{2\pi\hbar n}{L} = \frac{h}{L}n \quad n = 0, \pm 1, \pm 2, \dots$$

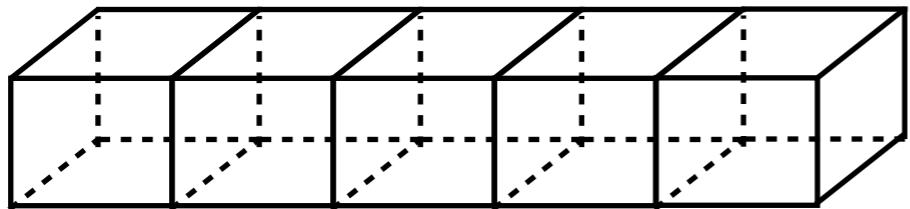
故 $\psi_n(x) = \frac{1}{\sqrt{L}} e^{\frac{i}{\hbar} p_n x} = \frac{1}{\sqrt{L}} e^{i \frac{2\pi n}{L} x}$

周期性边界条件： p_n 是离散的，本征值间隔 $\Delta p_n = \frac{h}{L}$

当 $L \rightarrow \infty$ 时, $\Delta p_n \rightarrow 0$

分离谱 \rightarrow 连续谱

平面波——箱归一化



$\psi(-L/2) = \psi(+L/2)$
周期性边界条件

$$\frac{1}{\sqrt{L}} e^{\frac{i}{\hbar} p(-\frac{L}{2})} = \frac{1}{\sqrt{L}} e^{\frac{i}{\hbar} p(+\frac{L}{2})} \rightarrow e^{\frac{i}{\hbar} pL} = 1 \rightarrow \frac{pL}{\hbar} = 2n\pi$$

$$\rightarrow p_n = \frac{2\pi\hbar n}{L} = \frac{h}{L}n \quad n = 0, \pm 1, \pm 2, \dots$$

故 $\psi_n(x) = \frac{1}{\sqrt{L}} e^{\frac{i}{\hbar} p_n x} = \frac{1}{\sqrt{L}} e^{i \frac{2\pi n}{L} x}$ 离散的动量本征函数

正交归一性：

$$\int_{-L/2}^{L/2} \psi_n^*(x) \psi_m(x) dx = \frac{1}{L} \int_{-L/2}^{L/2} e^{i \frac{2\pi(n-m)}{L} x} dx = \delta_{nm}$$

箱归一化平面波的封闭性

离散的动量本征函数 $\psi_n(x) = \frac{1}{\sqrt{L}} e^{\frac{i}{\hbar} p_n x} = \frac{1}{\sqrt{L}} e^{i \frac{2\pi n}{L} x}$

$$\sum_{n=-\infty}^{+\infty} \psi_m^*(x') \psi_n(x) = \sum_{n=-\infty}^{+\infty} \frac{1}{L} e^{\frac{i}{\hbar} p_n (x-x')}$$

令 $L \rightarrow \infty$, $\Delta p_n = \frac{h}{L} \rightarrow dp$, 即 $\frac{1}{L} \rightarrow \frac{dp}{h}$

$$\sum_{n=-\infty}^{+\infty} \cdots \Delta p_n \xrightarrow{L \rightarrow \infty} \int_{-\infty}^{+\infty} \cdots dp$$

故 $\sum_{n=-\infty}^{+\infty} \psi_n^*(x') \psi_n(x) = \sum_{n=-\infty}^{+\infty} e^{\frac{i}{\hbar} p_n (x-x')} \frac{\Delta p_n}{h}$

$$\xrightarrow{L \rightarrow \infty} \int_{-\infty}^{+\infty} \frac{1}{h} e^{\frac{i}{\hbar} p (x-x')} dp = \delta(x - x')$$

满足
封闭性

箱归一化平面波的完备性

离散的动量本征函数 $\psi_n(x) = \frac{1}{\sqrt{L}} e^{\frac{i}{\hbar} p_n x} = \frac{1}{\sqrt{L}} e^{i \frac{2\pi n}{L} x}$

$$\begin{aligned} f(x) &= \int_{-\infty}^{+\infty} f(x') \delta(x' - x) dx' \\ &= \int_{-\infty}^{+\infty} f(x') \left[\sum_{n=-\infty}^{+\infty} \psi_n^*(x') \psi_n(x) \right] dx' \\ &= \sum_{n=-\infty}^{+\infty} \left[\int_{-\infty}^{+\infty} \psi_n^*(x') f(x') dx' \right] \psi_n(x) \\ &= \sum_{n=-\infty}^{+\infty} c_n \psi_n(x) \end{aligned}$$

L → ∞

满足
完备性

返回